6 Afterney Docket # 5083-37

Patent

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of

Jochen HEINZ et al.

Serial No.:

10/685,008

Filed: October 14, 2003

For:

Tamper-Evident Closure for a Syringe

Examiner:

Group Art:

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SIR:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56 and in accordance with the practice under 37 C.F.R. §§ 1.97 and 1.98, the Examiner's attention is directed to the documents listed on the enclosed Form PTO/SB/08A. A copy of the listed non-U.S. document is also enclosed.

The listed references were cited in the search report for the corresponding European application, a copy of which is enclosed.

It is respectfully requested that the above information be considered by the Examiner and that the copy of the enclosed Form PTO/SB/08A be returned indicating that such information has been considered.

Disclosure Statement should not be construed as a representation that a search has been made or that information cited is, or is considered to be, material to patentability as defined in §1.56(b), or that any cited document listed or attached is (or constitutes) prior art. Unless otherwise

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If any fees or charges are deemed required at this time in connection with the application, the same may be charged to our Patent and Trademark Office Deposit Account No. 03-2412.

Respectfully submitted,

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| Substitute for Form 1449/PTO NOV 2.7 2006                     | Application Number                    | 10/685,008                                     |  |
| INFORMATION DISCLOSTRE  | Filing Date                           | October 14, 2003                               |  |
| STATEMENT BY APPLICANT  | First Named Inventor                  | Jochen HEINZ                                   |  |
| (Use as many sheets as necessary)                             | Art Unit                              |  |  |
| (Ose as many sheets as necessary)                             | Examiner Name                         |  |  |
| Sheet 1 of 2  | Attorney Docket Number                | 5083-37  |  |

| U.S. PATENT DOCUMENTS |          |   |                                |  |   |  |
|-----------------------|----------|---|--------------------------------|--|---|--|
| Examiner Cite No. 1   |          | Document Number  Number-Kind-Code <sup>2 (sf</sup> known) | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |  |
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| FOREIGN PATENT DOCUMENTS |                  |  |                  |                             |   |                |  |
|--------------------------|------------------|--|------------------|-----------------------------|---|----------------|--|
| Examiner                 | Cite             | Foreign Patent Document  | Publication Date | Name of Patentee or         | Pages, Columns, Lines,                                | T <sup>6</sup> |  |
| Initials*                | No. <sup>1</sup> | Country Code <sup>3</sup> Number <sup>4</sup> Kind-Code <sup>5</sup> (if known | MM-DD-YYYY       | Applicant of Cited Document | Where Relevant Passages or<br>Relevant Figures Appear | :              |  |
|                          |                  | WO 96/32441  | 10-17-1996       | Exxon Chemical              |   |                |  |
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PTO/SB/08A (04-03)

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|                              |   |            | Application Number   | 10/685,008             |                  |  |
| INEO                         | DMATIO  | NI INI     | SCI OSLIDE           | Filing Date            | October 14, 2003 |  |
|                              | INFORMATION DISCLOSURE<br>STATEMENT BY APPLICANT<br>(Use as many sheets as necessary) |            | First Named Inventor | Jochen HEINZ           |                  |  |
| STAT                         |   |            | Art Unit             |                        |                  |  |
|                              | (Use as many sr   | ieets as i | iecessary)           | Examiner Name          |                  |  |
| Sheet                        | 2   | of         | 2                    | Attorney Docket Number | 5083-37          |  |

| Examiner Initials* | Cite  | NON PATENT LITERATURE DOCUMENTS Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of | T <sup>2</sup> |
|--------------------|-------|--|----------------|
| Examino: Birraio   | No. 1 | the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue                                  |                |
|                    |       | number(s), publisher, city and/or country where published.   | +              |
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